# SYSTEM FOR ASSOCIATIVE PROCESSING

## Related Applications

5      This patent claims priority on the provisional patent application entitled "Definition of Digital Pattern Processing", serial No. 60/240,427, filed October 13, 2000, assigned to the same assignee as the present application.

## Field of the Invention

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The present invention relates generally to the field of computer memory systems and more particularly to a system for associate processing.

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## Background of the Invention

Most computer memory today uses Random Access Memory (RAM) to store information. Each element of data has its own address. The

20      Central Processing Unit (CPU) provides a singular address and can either read or write data at that location. This architecture is sequential in nature, requiring several processing steps to manipulate data because its location must be determined first.

An alternative method of managing data is with Content Addressable Memory (CAM). In this method the CPU provides a data element to the CAM and the CAM determines an address for the data element. CAMs are architecturally the inverse of RAMS. CAMs have typically been used in applications requiring high bandwidth and low latency requirements. CAMs provide significant improvements over alternative RAM-based search algorithms such as binary/tree searches or look-aside tag buffers. CAMs are hardware devices and as a result require the designer to determine the exact maximum key width and depth. Typical commercial CAM semiconductor chips are 64 bits wide and 1024 bits deep. As a result, applications requiring more than a few thousand entries are prohibitive in cost, power consumption and on-board real-estate.

Another data management scheme is associative memories. Typically associative memories use hash tables that return an arbitrary memory location for a data element. Hashing tables are commonly used in large database applications. Unfortunately, hashing tables suffer from a large number of collisions as the memory store approaches 70% full. The collision management requires external memory management schemes that require extra processing and memory space.

None of these systems have the ability to use fixed length icons (transforms, polynomial codes) to stand in for the data in complex data acquisition techniques. Some of these techniques are discussed in USPN 6,167,400, issued on December 26, 2000; USPN 6,157,617, issued on December 5, 2000; USPN 5,742,611, issued April 21, 1998; USPN

5,942,002, issued on August 24, 1999, all assigned to the same assignee as the present application.

Thus there exists a need for a system for associative processing that overcomes these and other problems.

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## Brief Description of the Drawings

FIG. 1 is a schematic diagram of a sliding window search routine in accordance with one embodiment of the invention;

FIGs. 2 & 3 are a flow chart of the steps used in performing a sliding window search in accordance with one embodiment of the invention;

FIGs. 4 & 5 are a flow chart of the steps used in performing a sliding window search in accordance with another embodiment of the invention;

FIG. 6 is a flow chart of the steps used in performing a sliding window search in accordance with another embodiment of the invention;

FIG. 7 is a flow chart of the steps used in an icon shift function in accordance with one embodiment of the invention;

FIG. 8 is a flow chart of the steps used in an icon unshift function in accordance with one embodiment of the invention;

FIG. 9 is a flow chart of the steps used in a transform function in accordance with one embodiment of the invention;

FIG. 10 is a flow chart of the steps used in an untransform function in accordance with one embodiment of the invention;

FIG. 11 is an example of a transform lookup table;

FIG. 12 is an example of a transform translation table;

FIG. 13 is a block diagram of a system for associative processing in accordance with one embodiment;

FIG. 14 is a linear feedback register used to calculate an icon (CRC, polynomial code) in accordance with one embodiment of the invention; and

FIG. 15 is a block diagram of a system for associative processing in accordance with one embodiment.

## Detailed Description of the Drawings

A system for associative processing has an icon generator (transform generator; polynomial code generator). An associative memory controller is connected to the icon generator. An associative processing unit is connected to the associative memory controller. A memory is connected to the associative memory controller. This system can be configured to perform the sliding search routine described in figures 1-6, or as a CAM (content address memory) or as an extensible markup language database system or as virus scanner or a packet accounting system or a variety of other functions.

FIG. 1 is a schematic diagram of a sliding window search routine in accordance with one embodiment of the invention. A data block 20 to be searched is represented as $B_0$, $B_1$, $B_2$ - $B_n$, where $B_0$ may represent a byte of data. A first window 22 ($W_{1-1}$) has a search window size of three bytes. The search window size, in one embodiment, is equal to the size of one of the plurality of data strings for which we are searching. Another window 24 ($W_{2-1}$) has a search window size of five bytes. An associative database (associative memory) 26 consists of a plurality of address $\{X(W_{n-n})\}$ 28. In one embodiment, the transform of each of the plurality of data strings corresponds to one of the addresses 28 of the associative memory 26. In another embodiment, a transform for at least a first portion of each of the plurality of data strings corresponds to one of the addresses 28 of the associative memory 26. In one embodiment, the transform is a cyclical redundancy code for the plurality of data strings or first portion of the plurality of data strings. In another

embodiment, the transform is any linear feedback shift register transformation (polynomial code) of the data string. Generally the polynomial code is selected to have as few collisions as possible.

In one embodiment, a transform (icon) is determined for the first window 22 $\{X(W_{1-1})\}$. Then the address 28 in the associative database equal to the first window transform is queried. The first entry at the address is a match indicator 30. There are three possible states for the match: no match, match (M) and qualified match (QM). When a match occurs this information is passed to a user (operating system) for further processing. When a no match state is found the window slides by one byte for example. This is shown as window $W_{2-1}$ 32. The subscript one means its the first size window (three byte size) and the subscript two means its the second window. Note the window has slid one byte to cover bytes $B_1$, $B_2$, $B_3$. Prior art techniques, such as hashing, would require determining a completely new transform for the bytes $B_1$, $B_2$, $B_3$. The present invention however uses advanced transform techniques for linear feedback shift registers that are explained in the patent application entitled "Method and Apparatus for Generating a Transform"; serial No. 08/613,037; filed March 8, 1997; assigned to the same assignee as the present application and incorporated herein by reference. These advanced transform techniques are also explained in detail with respect to FIGs. 7-11. Using these advanced techniques a transform (first byte icon) is calculated for a first byte of data ($B_0$). An icon shift function is performed on the first byte icon to form a shifted first byte icon. Note the shifted first byte icon is $X(B_0\ 0\ 0)$ in this case,

where 0 0 represents two bytes of zeros. Note that this discussion also assumes that $B_0$ is the highest order byte.

The shifted first byte icon $X(B_0\ 0\ 0)$ is exclusive ORed with the first icon $X(B_0\ B_1\ B_2)$ to form a seed icon $X(B_1\ B_2)$. Next a second icon 5 $X(B_1\ B_2\ B_3)$ is formed by transforming a new byte of data $(B_3)$ onto the seed icon $X(B_1\ B_2)$. The process of transforming a new byte of data onto an existing transform is explained with respect to figure 9. In another embodiment, the seed icon is icon shifted to form a shifted seed icon $X(B_1\ B_2\ 0)$. The shifted seed icon $X(B_1\ B_2\ 0)$ is exclusive ORed with the 10 icon for the new byte of data $X(B_3)$ to form the second icon $X(B_1\ B_2\ B_3)$. Now the second icon represents an address in the associative memory, so we can determine if there is a match for the data $(B_1\ B_2\ B_3)$. This process then repeats for each new byte of data.

Using this process significantly reduces the processing time 15 required to determine a match. Note that if the process is searching for several three bytes strings it requires the same number of steps as searching for a single three byte string of data. This is because each new data string just represents a different entry in the associative database 26. Whereas standard compare functions would have to perform a 20 comparison for each data string being searched. Thus this invention is particularly helpful where numerous data strings need to be matched.

Often the data strings for which we are searching have differing lengths. In one embodiment this is handled by defining a separate window search size (e.g., $W_{2-1}$ 24). The two or more window sizes 25 operate completely independently as described above. In another embodiment, the associative database 26 contains a qualified match for

a first portion of each the data strings that are longer than the window

length. Note in this case the window length (window size) is selected to

be equal to the shortest data string being searched. When the process

encounters a qualified match, two alternative implementations are

5   possible. In one implementation, there is a pointer 34 associated with

the qualified match. The pointer points to a second icon. The process

determines an icon for a next window of data. When the icon for the

next window of data matches the second icon a match has been found.

Note that this technique can be extended for data strings that have sizes

10   that are many times longer than the window size. However, this

implementation is limited to data sizes that are multiples of the window

size. This may be limiting in some situations. The second

implementation has a match length 36 associated with the qualified

match. The match length indicates the total length of the data string to

15   be matched. Then an icon can be determined for the complete data

string or for just that portion of the data string that does not have an

icon. Using this icon the process can determine if there is match. Using

these methods it is possible to handle searches for data strings having

varying lengths. This method provides a significant improvement over

20   comparison search techniques, that have to perform multiple

comparisons on the same data when differing window lengths are

involved.

FIGs. 2 & 3 are a flow chart of the steps used in performing a

sliding window search in accordance with one embodiment of the

25   invention. The process starts, step 40, by creating an associative

database of a plurality of data strings at step 42. A first window of a

data block is received at step 44. The first window of the data block is iconized to form a first icon at step 46. Next it is determined if the first icon has a match in the associative database at step 48. A first byte icon is determined for the a first byte of data in the first window at step 50. An icon shift function is executed to form a first byte icon at step 52. The shifted first byte icon is exclusive ORed with the first icon to form a seed icon at step 54. A second icon is determine for a second window using the seed icon and transforming a new byte of data onto the seed icon at step 56. At step 58 it is determined if the second icon has a match in the associative database which ends the process at step 60. The process just repeats until the whole block of data has been analyzed for matches. Note the process described above assumes that second window has been shifted one byte from the first window. It will be apparent to those skilled in the art the process can be easily modified to work for shifts of one bit to many bytes. The process described above also assumes that the window is larger than a single byte. However, the process would work for a single byte.

In another embodiment, the process first determines if a single search window size is required. When only a single window search size is required an icon is determined for each of the plurality of data strings. When more than a single window search size is required, a minimum length search window is determined. Next an icon is calculated for each of a first plurality of data strings having a length equal to the minimum length, to form a plurality of first icons. The plurality of first icons are stored in the associative database. Next an icon is calculated for a first portion of each of a plurality of data strings, to form a plurality of

second icons. The plurality of second icons are stored in the associative database. An icon is calculated for a second portion of each of the second plurality of data strings to form a plurality of third icons. The plurality of third icons are stored in the associative database. A pointer

5      is stored with each of the second icons that points to the one of the plurality of third icons. Note that in one embodiment a match flag is stored at an address corresponding to the icons (first icons, second icons, third icons).

In another embodiment, when the process finds that the first icon

10      is found in the associative database, it is determined if a pointer is stored with the first icon. When a pointer is not stored with the first icon, then a match has been found. When a pointer is stored with the first icon a next icon is determined. The next icon is the transform for the next non-overlapping window of the data block being searched. The

15      next icon is compared to the an icon at the pointer location. When the next icon is the same as the icon at the pointer location a match has been found.

In another embodiment when the first icon is found in the associative database and includes a pointer, a second icon is determined.

20      Next it is determined if the second icon has a matching the associative database. In another embodiment the second icon is determined using an icon append operation with a second portion to the first icon. The second portion is the next non-overlapping window of data in the data block being searched.

25      FIGs. 4 & 5 are a flow chart of the steps used in performing a sliding window search in accordance with another embodiment of the

invention. The process starts, step 70, by generating an associative database at step 72. A first window of a data block is selected to be examined at step 74. The first window is iconized to form a first icon at step 76. A lookup in the associative database is performed to determine if there is a match at step 78. A second window of the data block is selected, wherein the second window contains a new portion and a common portion of the first window at step 80. A second icon is determined using the first icon, a discarded portion and the portion but not the common portion at step 82. The second icon is associated with the second window which ends the process at step 84. In one embodiment, this process is repeated until the complete data block has been examined. In another embodiment the process of forming an icon involves a linear feedback shift register operation. In another embodiment the linear feedback shift register operation is a cyclical redundancy code.

In another embodiment the process of forming the second icon includes determining a discarded icon for the discarded portion. Then an icon shift function is executed to form a shifted discarded icon. The shifted discarded icon is exclusive ORed with the first icon to form a seed icon. A new icon is determined for the new potion. The new icon is exclusive ORed with the seed icon to form the second icon.

In another embodiment the lookup process to determine if there is a match includes determining if the associative database indicates a match, a no match or a qualifier match. When a qualifier match is indicated, a next window icon for the next complete non-overlapping

window of data is determined. Then it is determined if there is a pointer pointing from the first icon to the next window icon.

In another embodiment, when a qualifier match is indicated, a match length is determined. An extra portion is appended onto the first icon to form a second icon. Note the extra portion of the data plus the window of data that has been iconized is equal to the match length. Using the second icon it is determine if the associative database indicates a match.

FIG. 6 is a flow chart of the steps used in performing a sliding window search in accordance with another embodiment of the invention. The process starts, step 90, by selecting a plurality of data strings to be found at step 92. The plurality of data strings are iconized to form a plurality of match icons at step 94. An associative database is created having a plurality of icons, wherein each of the match icons corresponds to one of the plurality of addresses at step 96. At step 98, a match flag is stored at each of the plurality of addresses corresponding to the plurality of match icons which ends the process at step 100. When the plurality of data strings do not all have a same length a plurality of shortest data strings are selected. A plurality of short icons associated with the shortest data strings are determined. The match indicator is stored in the associative database at the address associated with each of the short icons. A plurality of qualifier icons are determined for a first portion of a plurality of longer data strings. A qualifier flag is stored in the associative database for each of the qualifier icons. A match length indicator is stored with each of the qualifier icons in the associative database. An icon is determined for a first window of a data block,

wherein the first window has a window length equal to a shortest length. A lookup is performed in the associative database to determine if there is a match flag or a qualifier flag. When there is a qualifier flag, the match length indicator is retrieved. A complete icon is determined

5  for the portion of the data block equal to the match length. A lookup is performed to determine if there is a match flag associated with the complete icon.

The following figures explain the "icon algebra" used in implementing the invention. FIG. 7 is a flow chart of the steps used in

10  an icon shift function in accordance with one embodiment of the invention. The shift module determines the transform for a shifted message (i.e., "A0" or $X^z A(x)$). Where $X^z$ means the function is shifted by z places (zeros) and A(x) is a polynomial function. The process starts, step 120, by receiving the transform 122 to be shifted at step 124. Next

15  the a pointer 126 is extracted at step 128. The transform 122 is then moved right by the number of bits in the pointer 126, at step 130. This forms a moved transform 132. Note the words right and left are used for convenience and are based on the convention that the most significant bits are placed on the left. When a different convention is

20  used, it is necessary to change the words right and left to fit the convention. Next the moved transform 132 is combined (i.e., XOR'ed) with a member 134 associated with the pointer 126, at step 136. The member associated with the pointer is found in a transform look table, like the one shown in FIG. 11. Note that this particular lookup table is

25  for a CRC-32 polynomial code, however other polynomial codes can be used and they would have different lookup tables. This forms the

shifted transform 138 at step 140, which ends the process at step 142. Note that if the reason for shifting a first transform is to generate a first-second transform then first transform must be shifted by the number of bits in a second data string. This is done by executing the shift module X

5    times, where X is equal to the number of data bits in the second data string divided by the number of bits in the pointer. Note that another way to implement the shift module is to use a polynomial generator. The first transform 122 is placed in the intermediate remainder register. Next a number of logical zeros (nulls) equal to the number of data bits in

10   second data string are processed.

FIG. 8 is a flow chart of the steps used in an icon unshift function in accordance with one embodiment of the invention. An example of when this module is used is when the transform for the data string "AB" is combined with the transform for the data string "B". This leaves the

15   transform for the data string "A0" or $X^Z A(x)$. It is necessary to "unshift" the transform to find the transform for the data string "A". The process starts, step 150, by receiving the shifted transform 152, at step 154. At step 156 a reverse pointer 158 is extracted. The reverse pointer 158 is equal to the most significant portion 160 of the shifted transform 152.

20   The reverse pointer 158 is associated with a pointer 162 in the reverse look up table (e.g., see FIG. 12) at step 164. Next, the member 166 associated with the pointer 162 in the table of FIG. 11 for example, is combined with the shifted transform at step 168. This produces an intermediate product 170, at step 172. At step 174 the intermediate

25   product 170 is moved left to form a moved intermediate product 176. The moved intermediate product 176 is then combined with the pointer

162, at step 178, to form the transform 180, which ends the process, step 182. Note that if the number of bits in the "B" data string (z) is not equal to the number of bits in the pointer then the unshift module is executed X times, where X=z/(number of bits in pointer).

5      FIG. 9 is a flow chart of the steps used in a transform function in accordance with one embodiment of the invention. The transform module can determine the first-second transform for a first-second data string given the first transform and the second data string, without first converting the second data string to a second transform. The process

10      starts, step 190, by extracting a least significant portion 192 of the first transform 194 at step 194. This is combined with the second data string 196 to form a pointer 198, at step 200. Next a moved first transform 202 is combined with a member 204 associated with the pointer in the look up table (e.g., FIG. 11), at step 206. A combined transform 208 is

15      created at step 210 which ends the process, step 212. Note that if the pointer is one byte long then the transform module can only process one byte of data at a time. When the second data string is longer than one byte then the transform module is executed one data byte at a time until all the second data string has been executed. In another example

20      assume that first transform is equal to all zeros (nulls), then the combined transform is just the transform for the second data string. In another embodiment the first transform could be a precondition and the resulting transform would be a precondition-second transform. In another example, assume a fourth transform for a fourth data string is

25      desired. A first data portion (e.g., byte) of the fourth data string is extracted. This points to a member in the look up table. When the

fourth data string contains more than the first data portion, the next data portion is extracted. The next data portion is combined with the least significant portion of the member to form a pointer. The member is then moved right by the number of bits in the next data portion to

5      form a moved member. The moved member is combined with a second member associated with the pointer. This process is repeated until all the fourth data string is processed.

FIG. 10 is a flow chart of the steps used in an untransform function in accordance with one embodiment of the invention. The untransform

10    module can determine the first transform for a first data string given the first-second transform and the second data string. The process starts, step 220, by extracting the most significant portion 222 of the first-second transform 224 at step 226. The most significant portion 222 is a reverse pointer that is associated with a pointer 228 in the

15    reverse look-up table. The pointer is accessed at step 230. Next the first-second transform 224 is combined with a member 232 associated with the pointer to form an intermediate product 234 at step 236. The intermediate product is moved left by the number of bits in the pointer 228 at step 238. This forms a moved intermediate product 240. Next

20    the pointer 228 is combined with the second data string 242 to form a result 244 at step 246. The result 244 is combined with the moved intermediate product 240 to form the first transform 248 at step 250, which ends the process at step 252. Again this module is repeated multiple times if the second data string is longer than the pointer.

25    Some examples of what the transform module 100 can do, include determining a second-third transform from a first-second-third

transform and a first transform. The first transform is shifted by the number of data bits in the second-third data string. The shifted first transform is combined with the first-second-third transform to form the second-third transform. In another example, the transform generator

5 could determine a first-second-third-fourth transform after receiving a fourth data string. In one example, the transform module would first calculate the fourth transform (using the transform module). Using the shift module the first-second-third transform would be shifted by the number of data bits in the forth data string. Then the shifted first-

10 second-third transform is combined, using the combiner, with the fourth transform.

FIG. 13 is a block diagram of a system 270 for associative processing in accordance with one embodiment. The system 270 has an icon generator 272. The icon generator 272 has an input 274 connected

15 to key data or input data that is converted to icons. The icon generator is connected to an associative memory controller 276. The associative memory controller (AMC) 276 receives icons from the icon generator 272. The associative memory controller 276 is connected to a RAM (random access memory; memory) 278. The AMC 276 and the RAM 278

20 form a virtual associative memory. The AMC 276 is connected to an associative processing unit 280. Note that the icon contains an address and a confirmer. The address is used to access the RAM 278 by the AMC 276. A confirmer from the address in the RAM is compared to the confirmer of the icon determine if a match has been found. For more

25 information on the use of addresses and confirmers see USPN 5,942,002 and US patent application serial No. 09/419,217 both assigned to the

same assignee as the present application and hereby incorporated by reference.

The icon generator may use a polynomial code to convert the key into an icon (or hash). The icon generator may also produce a plurality of lengths of icons. For more details on how the icon generator can produce multiple lengths of icons see US patent application entitled "Method of Forming a Hashing Code", serial no. 09/672,754, filed on September 28 2000 assigned to the same assignee as the present application and hereby incorporated by reference. The hardware to produce the icon may be linear feedback shift register (See FIG. 14) as used to produce CRCs (cyclical redundancy code). Or may be a microprocessor running the algorithms shown in FIGs. 9 & 12. Note that FIG. 12 is a lookup table.

The associative memory controller 276 may be a microprocessor that controls the functions of the RAM, such as lookups, stores, deletes, and comparing of confirmers. This list is not meant to be exhaustive just exemplary. The associative processing unit 280 may be a microprocessor. In addition the APU 280 may include shift registers and exclusive OR arrays. Among the functions the APU 280 might perform are the shift module, unshift module and untransform module shown in FIGs 7, 8 & 10. In addition, any icon algebra that may be necessary. A formal treatment of the icon or linear algebra the APU 280 may perform is given in the appendix of the provisional patent application having serial no. 09/767,493, entitled "Definition of Digital Pattern Processing" filed on October 13, 2000, and assigned to the same assigned as the present application and providing priority for the present application. A

less formal and less complete treatment of the icon algebra is discussed in USPN 5,942,002. In one embodiment, a single microprocessor may perform the functions of the IG 272, AMC 276 and APU 280.

FIG. 14 is a linear feedback register 290 used to calculate an icon (CRC, polynomiela code) in accordance with one embodiment of the invention. The icon generator 290 has a data register (shift register) 292 and an intermediate remainder register 294. The specific generator of FIG. 14 is designed to calculate a cyclical redundancy code (CRC-16). The plurality of registers 296 in the intermediate remainder register 294 are strategically coupled by a plurality of exclusive OR's 298. The data bits are shifted out of the data register 292 and into the intermediate register 294. When the data bits have been completely shifted into the intermediate register 294, the intermediate register contains the CRC associated with the data bits. Transform generators have also been encoded in software.

FIG. 15 is a block diagram of a system 300 for associative processing in accordance with one embodiment. The system 300 has multiple IG/APUs (icon generator/associative processing units; plurality of icon generators; plurality of associative processing units) 302, 304, 306. The IG/APUs 302, 304, 306 have an input connected to key data or input data streams 308, 310, 312. The IG/APUs are connected to a bus (network or inter-processor communication bus) 314. An AMC 316 is also connected to the bus 314. Generally, only icons of fixed length are passed over the bus 314. This significantly reduces the bus traffic and therefor the required bandwidth of the bus. The AMC 316 is connected to RAM 318 containing a database in one embodiment.

Thus there has been describe a system for associative processing that may be configured to perform any number of tasks including, associative databases, content scanning, packet accounting, extensible markup language database management systems and more.

5     The methods described herein can be implemented as computer-readable instructions stored on a computer-readable storage medium that when executed by a computer will perform the methods described herein.

While the invention has been described in conjunction with

10    specific embodiments thereof, it is evident that many alterations, modifications, and variations will be apparent to those skilled in the art in light of the foregoing description. Accordingly, it is intended to embrace all such alterations, modifications, and variations in the appended claims.